

PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James Allen Cox et al.

Serial No.:

09/751,422

Filed:

December 29, 2000

For:

RESONANT REFLECTOR FOR USE WITH OPTOELECTRONIC DEVICES

Docket No.:

1100.1130101

TRANSMITTAL SHEET

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of : EL837557730US in an envelope address to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 23rd day of March, 2001

By Jolene Alger

We are transmitting herewith the attached:

L	J	Amendment				
		[]	No additional fee required			
		[]	The fee has been calculated as shown:			

CLAIMS AS AMENDED										
	(3)	(4)	(5)	SMALL	ENTITY	OTHER				
	REMAININ G CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE			
TOTAL CLAIMS	-			x9=	\$	x18=	\$			
INDEPEN- DENT CLAIMS				x39=	\$	X78=	\$			
() FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	\$			
TOTAL	\$		\$							

[XX]	A check in the amount of \$1512 [the basic filing fee (\$710), surcharge (\$130) and additional claims fee (\$672)] is enclosed.
[]	Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
[XX]	Other: Response to Notice to File Missing Parts, "Part 2-Copy to be returned with Response" copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b)-Filing Date Granted, and Executed Declaration and Power of Attorney.
[XXXX]	Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 50-0413. By: Brian N. Tufte Reg. No. 38,638

Brian N. Tufte CROMPTON, SEAGER & TUFTE, LLC 331 Second Avenue South, Suite 895 Minneapolis, MN 55401 (612) 677-9050



03CO

sector #3

PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James Allen Cox et al.

Serial No.:

09/751,422

Filed:

December 29, 2000

For:

RESONANT REFLECTOR FOR USE WITH OPTOELECTRONIC DEVICES

Docket No.:

1100.1130101

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of :EL837557730US in an envelope address to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 23rd day of March, 2001

By Jolene Alger

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

To complete the above-captioned application, please find enclosed the "PART 2-COPY TO BE RETURNED WITH RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED, a Declaration signed by the named inventors, and a check in the amount of \$1512 [the basic filing fee (\$710), surcharge (\$130) and additional claims fee (\$672)]. Permission is hereby granted to charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Any inquiry regarding the above-referenced matter may be directed to the undersigned representative.

Respectfully submitted,

James Allen Cox et al.

By their attorney,

Dated: March 23, 2001

Brian N. Tuffe, Reg. No. 38,638 CROMPTON, SEAGER & TUFTE, LLC

331 Second Avenue South, Suite 895

Minneapolis, MN 55401 Telephone: (612) 677-9050 Facsimile: (612) 359-9349



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/751,422 12/29/2000

James Allen Cox 1100.1130101

CONFIRMATION NO. 7848

Brian N. Tufte CROMPTON, SEAGER & TUFTE, LLC Suite 895 331 Second Avenue South Minneapolis, MN 55401-2246

FORMALITIES LETTER *OC000000005760201*

Date Mailed: 02/13/2001

28.82

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$672.
 - **\$432** for **24** total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 1512.	<u>~</u>	10.00 GP 32.00 GP 30.00 GP
A copy of this notice <u>MUST</u> be returned with the reply.	00000110 097514	red to m
Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE	18/2001 CCHRU1	C: 101 C: 102 C: 105 C: 105

